



Productivity and Development Center **2017 PROJECT ACCOMPLISHMENT REPORT**

I. Project Information

Project Code	QGGLH
Project Title	Modernizing Government Regulations (MGR) Program - Capacity-Building Component, P3
Project Start	01 January 2017
Project End	31 December 2017
Project Price	PHP 5,400,000.00
Client Organization	Department of Budget and Management
Status	Completed

II. Project Team:

Project Manager	Lea S. Peralta
Team Members	Joanna Marie A. Erasga, Marbida L. Marbida, Celene Angeli C. Dumaguing, Mylene T. Soriao, Christian S. Eparwa, Flordeliza F. Manalastas, Adelina D. Alvarez, Rosalie T. Diaz Sales, Maria Corazon P. Ramos, Eurikai F. Posadas, Rolando O. Lazo, Apple Vine S. Rivera, Sherlyn Mae F. Hernandez
Supervising Fellow	Monica D. Saliendres
Consultants	Shin Kim, Carlos Bernardo O. Abad Santos, Edna Estifania A. Co, Joel C. Yu, Portia P. Silang

III. Project Details

A. Project Description:

The MGR Program aims to contribute to the improvement of the competitiveness of the Philippines by examining existing regulations with the end goal of streamlining unnecessary rules and reducing compliance costs borne by government (from administering and enforcing regulations) and businesses.

Crucial to the success of the MGR Program is to contribute to the strengthening of the institutional capacity of government regulatory bodies in creating, implementing, and managing regulations. It is with this goal in mind that the Capacity Building Component of the MGR Program is established. This component will focus on capacitating regulators to create smart regulations through the use of effective analytical tools and good regulatory practices.

B. Project Objectives:

Specifically, the Capacity Building Component of the MGR Program is designed to:

1. Create a pool of resource persons on Regulatory Impact Analysis (RIA) with the capacity to conduct risk-based approaches in evaluating regulations;
2. Develop guide and training materials on the conduct of RIA to support its adoption or implementation; and,

Productivity and Development Center
2017 PROJECT ACCOMPLISHMENT REPORT

3. Validate and solicit inputs on the development of a Regulatory Management System (RMS) from regulatory and standards development bodies.
- C. Focus Area:** Policy review, policy advocacy, policy reform agenda development, counter corruption and integrity development in governance
- D. Project Type:** Training, policy research
- E. Project Beneficiary:** Bureaucracy, public sector, local government units
- F. Regional Coverage:** Nationwide

IV. Project Accomplishments

A. Key Activities Implemented:

1. Conduct of three batches of Training Course on RIA for regulation authorities

One of the initiatives of the MGR Program is the conduct of RIA trainings to capacitate regulators to eventually create smart regulations through the use of good regulatory practices. Important to the success of the MGR Program is cultivating an appreciation for and an understanding of RIA, a good regulatory practice that ensures the quality of regulations by critically measuring the positive and negative effects of future and existing regulations through a well-defined and evidence-based analysis.

The four-day trainings were aimed at appreciating the importance of good regulatory practices, particularly of RIA, in assessing present and in developing new regulations for increased quality of regulations. The course was intended to allow the participants to navigate through the processes in conducting RIA to get an appreciation on the concepts of proportionate analysis, problem analysis, alternative regulatory options, non-regulatory instruments and cost-benefit analysis and to recognize the principles underpinning effective public consultation and enforcement and implementation.

The trainings targeted heads, directors, and key staff of regulatory bodies, agencies and local government units and government officials responsible for policy making on social, economic, and environmental aspects of trade and commerce.

The trainings were conducted in key cities of the country to expand the reach of this initiative. The first training was held in Pasig City, the second in Cebu City, and the third in Baguio City. Dr. Shin Kim, a seasoned RIA practitioner and Senior Research Fellow of the Korea Institute of Public Administration, served as the primary resource person for all the trainings. The details which also include course and speaker ratings and the number of agencies and participants for each batch can be seen in Table 1.

Productivity and Development Center
2017 PROJECT ACCOMPLISHMENT REPORT

Table 1. Details of the 2017 RIA trainings

Training	Venue	Resource Person	Pax	Agencies (with UACS code)	Rating (5, highest)
1 st RIA Training	Astoria Plaza Hotel, Ortigas Center, Pasig City	Dr. Shin Kim	59	21	Course: 4.41 Resource Person: 4.40
2 nd RIA Training	Harolds Hotel, Lahug, Cebu City		54	15	Course: 4.37 Resource Person: 4.40
3 rd RIA Training	Baguio Burnham Suites Hotel, Kisad Road, Baguio City		35	13	Course: 4.49 Resource Person: 4.31

Overall, 148 participants from 45 agencies were trained in the three (3) batches of RIA training conducted. For the details on the participating agencies and the total number of personnel trained, Table 2 can be referred to.

Table 2. Participating agencies in the 2017 RIA trainings

Agency	Total Pax
1. Bureau of Agriculture and Fisheries Engineering	2
2. Bureau of Customs	2
3. Bureau of Quarantine	2
4. Cebu Ports Authority	4
5. City Government of Baguio	3
6. Civil Aeronautics Board	6
7. Civil Service Commission	2
8. Construction Industry Authority of the Philippines	1
9. Cooperative Development Authority	3
10. Dangerous Drugs Board	2
11. Department of Budget and Management	3
12. Department of Environment and Natural Resources – Region 7	4
13. Department of Health	6
14. Department of Labor and Employment	1
15. Department of Trade and Industry	4
16. Department of Transportation	2
17. Development Academy of the Philippines	16
18. Energy Regulatory Commission – Visayas Field Office	3
19. Environmental Management Bureau – Cordillera Administrative Region	1
20. Food and Drug Administration	9
21. Forest Management Bureau	4
22. Home Development Mutual Fund	1
23. House of Representatives	1
24. Housing and Land Use Regulatory Board – Central Visayas	4
25. Housing and Urban Development Coordinating Council	4
26. Laguna Lake Development Authority	3



Productivity and Development Center **2017 PROJECT ACCOMPLISHMENT REPORT**

Agency	Total Pax
27. Land Transportation Office	1
28. Maritime Industry Authority – Central Office	7
29. Maritime Industry Authority – Region 7	3
30. Mines and Geosciences Bureau – Cordillera Administrative Region	1
31. National Dairy Authority	2
32. National Economic and Development Authority – Main Office	4
33. National Economic and Development Authority – Region 7	3
34. National Food Authority	2
35. National Meat Inspection Service	2
36. Office for Transportation Security	3
37. Philhealth – Region 7	1
38. Philippine Competition Commission	3
39. Philippine Drug Enforcement Agency	4
40. Philippine Drug Enforcement Agency – Region 7	5
41. Philippine Economic Zone Authority – Mactan Economic Zone	4
42. Professionals Regulation Commission – Baguio Regional Office	3
43. Quezon City – Business Permits and Licensing Office	2
44. Social Security System	3
45. University of the Philippines	2
Total	148

2. Conduct of Training Workshop on the Development of an RMS Standard for regulation authorities/Validation of the RMS Standard

Through the MGR Program, an RMS standard was developed to guide the effective development and implementation of regulations and ensure continuous improvement in the regulatory processes to achieve agency mandates and policy objectives at the lowest possible cost. The said standard shall give a clear perspective of quality regulatory system requirements and the areas of control in the quality of regulatory services and continuous process improvement that will generate approaches to improve citizen satisfaction.

A Training Workshop (TWS) on the Development of an RMS Standard was conducted on 7-8 December 2017 at the Hive Hotel, South Triangle, Quezon City to present the initial draft of the RMS standard, to gather valuable inputs for its refinement, and to solicit support from the participants in the adoption of the standard in its regulatory operations.

Ms. Mylene T. Soriao of the MGR Program Office, served as the primary resource person to conduct the training workshop. The two-day training workshop featured the different sections included in the RMS Standard which included context of a

Productivity and Development Center
2017 PROJECT ACCOMPLISHMENT REPORT

regulatory agency, leadership, planning, support, operation, performance evaluation, and improvement. More details which include the course and speaker ratings and the number of agencies and participants can be seen in Table 3.

Table 3. Details of the TWS on the Development of an RMS Standard

Training	Venue	Resource Person	Pax	Agencies (with UACS code)	Rating (5, highest)
TWS on the Development of an RMS Standard	Hive Hotel, South Triangle, Quezon City	Ms. Mylene T. Soriao	14	6	Course: 4.41 Resource Person: 4.32

The training workshop targeted participants from identified regulatory and oversight agencies with an ISO 9001 QMS Certification or agencies that are at least currently undergoing certification or transition to the new standard. Overall, 14 participants from 7 agencies participated to the training workshop. Of the 14 participants, 13 managed to complete the course. Table 4 lists the participating agencies and the corresponding number of participants.

Table 4. Participating agencies in the TWS on the Development of an RMS Standard

Agency	Total Pax
1. Department of Agriculture	3
2. Fertilizer and Pesticide Authority	1
3. National Meat Inspection Service	1
4. Bureau of Quarantine	2
5. Department of Health - Office for Health Regulation	1
6. Food and Drug Administration	3
7. Department of Health - Health Facilities and Services Regulatory Bureau	3
Total	14

The inputs gathered in the training workshop are going to be integrated to refine the sections included in the standard. More training workshops with a different set of participants will be invited to ensure the inclusivity and representativeness of the standard. Representatives from standards developing bodies are also being targeted for this activity.

3. Completion of general RIA guidelines for regulatory bodies and manual on conducting RIA training

The MGR Team has completed a manual on RIA and a Trainer's Guide on Conducting Training Courses on RIA.

The manual shall serve as a guide for regulation authorities involved in formulating and developing regulatory interventions to conduct RIA and ensure the quality of regulations by critically measuring the positive and negative effects of proposed regulations through a rigorous, well-defined, and evidence-based analysis. The



Productivity and Development Center **2017 PROJECT ACCOMPLISHMENT REPORT**

principles and techniques in the manual can also be used in reviewing existing regulations. The modules of the manual are as follows:

- Module 1 provides discussion on conditions that lead to government intervention and identify reasons why the government might choose to regulate markets.
- Module 2 provides discussion on regulatory reform, the approach to regulatory quality and coherence, and strategies for successful reform.
- Module 3 provides discussion on different good regulatory practices which would contribute in the improvement of the quality and coherence of regulations.
- Module 4 provides discussion on RIA, explaining its definition, importance, brief history, and an overview of its key analytical steps.
- Module 5 provides discussion on the corresponding roles and responsibilities of the technical staff and the management in conducting RIA and reviewing the RIA outputs.
- Module 6 provides discussion on the steps in conducting RIA by explaining necessary analytical tools such as proportionality analysis, problem analysis, and cost-benefit analysis, identifying regulatory and non-regulatory options, and considering the principles and conditions underpinning effective public consultation and regulatory enforcement and implementation.
- Module 7 provides discussion on developing Regulatory Impact Statement (RIS) for identified regulations that underwent RIA.

The trainer's guide shall be used by the RIA trainers in the DAP. The guide provides a complete set of action and training materials to help conduct RIA trainings. It consists of a handbook and a set of PowerPoint slide decks organized on a portable data storage device. The handbook follows a convenient and straightforward format with corresponding trainer notes, explanations, and examples and packed with activities and exercises warranting an interactive and energetic learning environment. The accompanying PowerPoint slide decks are skillfully designed with powerful visuals and arranged and synchronized with the handbook for easy use.

4. Completion of Demonstration Project on RIA for the DOH

As part of the initiative to enhance its policy-making process, the DOH entered into a Memorandum of Understanding (MOU) with the DAP, through its MGR Program, for DAP to conduct capacity-building sessions on RIA for the BOQ, FDA, Health Facilities and Services Regulatory Bureau (HFSRB), and the Philippine Institute of Traditional and Alternative Health Care (PITAHC) and other select divisions within the DOH.

DAP conducted three activities pertinent to this MOU:

- Master Class on RIA
- Basic Training Course on RIA
- Advanced Training Course on RIA

Productivity and Development Center
2017 PROJECT ACCOMPLISHMENT REPORT

To orient the management of the DOH of this MOU, a Master Class on RIA was held on 4 August 2017. DAP Vice-President Arnel D. Abanto served as the primary resource person for the master class. His topics included introductory lectures on regulatory reform and good regulatory practices, a discussion on the regulatory reform initiatives in the Philippines, and a presentation on RIA, its steps and the roles and responsibilities in its conduct. More details which include the course and speaker ratings and the number of agencies and participants can be seen in Table 5.

Table 5. Details of the Master Class on RIA for the DOH

Training	Venue	Resource Person	Pax	Agencies (with UACS code)	Rating (5, highest)
Master Class on RIA	Cocoon Boutique Hotel, Quezon City	DAP Vice-President Arnel D. Abanto	35	4	Course: 4.36 Resource Person: 4.44

A total of 35 participants from DOH were able to complete the master class. Table 6 summarizes the different bureaus within the DOH that participated in the master class.

Table 6. Participating agencies in the Master Class on RIA for the DOH

Agency	Total Pax
Bureau of International Health Cooperation	2
Bureau of Quarantine	3
European Union	1
Food and Drug Administration	7
Health Facilities and Services Regulatory Bureau	4
Health Human Resource Development Bureau	2
Health Policy Development and Planning Bureau	3
Knowledge Management and Information Technology Service	3
Office for Health Regulation	7
Office for Policy and Health Systems	1
Philippine Institute for Traditional and Alternative Health Care	2
Total	35

The Basic Training on RIA on 17-19 October 2017 with key directors and staff from the Office for Health Regulation, Food and Drugs Administration, Health Facilities and Services Regulatory Bureau, Health Policy Development and Planning Bureau, and the Philippine Institute of Traditional and Alternative Health Care. The basic training aimed at appreciating RIA in developing new regulations to increase the quality and coherence of regulations through the various analytical tools embedded in the process.

Productivity and Development Center
2017 PROJECT ACCOMPLISHMENT REPORT

For this occasion, Dr. Edna Estifania A. Co, Dr. Joel C. Yu, and Ms. Portia P. Silang were engaged to serve as the primary resource speakers to conduct the lectures and the workshops. More details which include the course and speaker ratings and the number of agencies and participants can be seen in Table 7.

Table 7. Details of the Basic Training Course on RIA for the DOH

Training	Venue	Resource Persons	Pax	Agencies (with UACS code)	Rating (5, highest)
Basic Training Course on RIA	Xenia Hotel, Clark Freeport Zone, Pampanga	<ul style="list-style-type: none"> Dr. Edna Estifania A. Co Dr. Joel C. Yu Ms. Portia P. Silang 	25	3	<ul style="list-style-type: none"> Course: 4.44 Dr. Co: 4.30 Dr. Yu: 4.87 Ms. Silang: 4.22

A total of 25 participants from DOH participated in the basic training. Table 8 below summarizes the different bureaus within the DOH that were part of the activity.

Table 8. Participating agencies in the Basic Training Course on RIA for the DOH

Agency	Total Pax
Office for Health Regulation	1
Food and Drug Administration	13
Health Facilities and Services Regulatory Bureau	6
Health Policy Development and Planning Bureau	2
Philippine Institute for Traditional and Alternative Health Care	2
Development Academy of the Philippines	1
Total	25

The Advanced Training Course on RIA was held on 7-10 November 2017 with the same participants who completed the basic training. The advanced training aimed at performing detailed ex-post Post-Implementation Review (PIR) and ex-ante RIA on existing and proposed regulations using various analytical methods and developing Regulatory Impact Statement/Report for identified regulations that underwent PIR and RIA.

Dr. Kim was again engaged to conduct the training course. More details which include the course and speaker ratings and the number of agencies and participants can be seen in Table 9.

Table 9. Details of the Advanced Training Course on RIA for the DOH

Training	Venue	Resource Persons	Pax	Agencies (with UACS code)	Rating (5, highest)
Advanced Training Course on RIA	Xenia Hotel, Clark Freeport Zone, Pampanga	Dr. Shin Kim	25	4	<ul style="list-style-type: none"> Course: 4.61 Resource Speaker: 4.77

Productivity and Development Center
2017 PROJECT ACCOMPLISHMENT REPORT

A total of 18 participants completed the advanced. Table 10 below summarizes the different bureaus within the DOH that participated.

Table 10. Participating agencies in the Advanced Training Course on RIA for the DOH

Agency	Total Pax
Office for Health Regulation	3
Food and Drug Administration	10
Health Policy Development and Planning Bureau	1
Philippine Institute for Traditional and Alternative Health Care	2
Development Academy of the Philippines	2
Total	18

Table 11 below summarizes the numerical data presented in the previous sections.

Table 11. 2017 Actual Targets for the MGR Program – Capacity Building Component

Deliverables	2016 Baseline	2017 Targets	2017 Actual Targets
Participants trained	123	220	240
Courses with satisfactory rating	100%	80%	100%
Agencies that benefitted RIA	38	15	47
Agencies that benefitted on RMS	N/A	4	6

B. Major Outputs:

The following were the major outputs produced by the project:

1. Presentation materials
2. General RIA guidelines
3. Manual on conducting RIA training
4. First version of the draft RMS standard

C. Project Impacts:

With the renewed drive of the government for regulatory reform, enhancing the capability of decision-makers in creating, implementing, and managing regulations efficiently and effectively proved to be crucial and exigent.



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Productivity and Development Center 2017 PROJECT ACCOMPLISHMENT REPORT

The initiatives of this project component will be particularly valuable in the following:

1. Enhancing the capability of regulation authorities in developing smart regulations through the use of effective analytical tools and integration of good regulatory practices such as RIA;
2. Identifying different policy instruments to ensure efficiency and cost-effectiveness of policies by exploring regulatory and non-regulatory options; and,
3. Improving the management of regulations to guarantee proper and consistent implementation by adopting RMS.

D. Lessons Learned:

The team usually encountered problems on getting progress reports on the implementation of the action plans that the participants drew up during the RIA training. The action plan represents the commitment of the participants to manifest at work the learning that was gained from the training. Albeit the follow-ups performed by the team, few participants responded to the request for updates. Among the few who responded to the request for updates, some have reported progress. For instance, some have performed RIA on their regulations while some have oriented the top management of the significance of RIA in improving their regulatory process.

Although sending formal letters is one way to ask for updates on the action plans, there should be an opportunity for the participants—such as follow-up meetings—to gather again to explain the initial benefits of RIA application on operations and regulatory performance, if applicable, and share encountered roadblocks as well as facilitating factors in implementing and/or advocating RIA within their respective agencies. The team could also hold executive briefings on the capacity development initiatives of the MGR Program to modernize the policy-making process in order to facilitate support from the top on carrying out the different methodologies the MGR Program is advocating.

V. Attachments

- A. Summary of Evaluation for Course and Resource Persons
- B. Certificates of Project Deliverable Accepted (for the Demonstration Project on RIA for the DOH)

Prepared by:


LEA S. PERALTA
Project Manager

Noted/Approved by:


ARNEL D. ABANTO
Center Head

Notes:

1. Project details on Section I-III can be generated thru PMIS based on PMs Inputs
2. Project Managers are required to accomplish Section IV & provide Section V to reflect results of project Implementation
Project Managers can update/adjust the pre-filled sections(I-III) based on actual data